Library of Congress Report
ALA/ALCTS/CaMMS Committee on Cataloging: Description and Access
American Library Association 2013 Annual Conference
Chicago, Illinois

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This document covers only selected initiatives undertaken at the Library of Congress since the ALA 2013 Midwinter Meeting in Seattle, with a focus on cataloging activities. A full document covering all LC activities is available on the "LC at ALA" Website, URL http://www.loc.gov/ala/

LIBRARY OF CONGRESS EXHIBIT BOOTH
The Library of Congress Exhibit booth is no. 631 in the McCormick Place Convention Center.

Personnel Changes
Geraldine Ostrove, PSD cataloging policy specialist responsible for music, retired on May 31, 2013, after almost 28 years at the Library of Congress. Questions related to music cataloging policy should continue to be sent to the Policy and Standards Division at policy@loc.gov.

Honors for Current/Retired Staff
At this Chicago meeting, the following awards will be given: Judith A. Kuhagen (retired, 2011) will receive the ALCTS Margaret Mann Citation; Barbara Tillett (retired, 2012) will receive the ALCTS Ross Atkinson Lifetime Achievement Award, and the LITA Federick G. Kilgour Award; Beacher Wiggins, director for Acquisitions & Bibliographic Access, will receive the ALA Melvil Dewey Medal.

Federal Budget Situation
The Library continues to operate under a long-term Fiscal 2013 Continuing Resolution (CR) funding the government through Sept. 30, 2013. As of March 1, mandatory sequestration is also in place for the remainder of the fiscal year. Cuts to the Library's budget in fiscal 2013 brought the total funding level for the agency down to $30.8 million below the 2012 funding level. This is $5.2 million less than the total funding level that the library had in fiscal 2006.

As a result of the budget sequestration, Library management notified staff of the need to take three furlough days between April 7 and Sept. 7, 2013. Other actions are being taken to reduce funding levels, including limiting new hires to critical vacancies; reducing overtime to a minimum and limiting it to performance of critical functions; freezing or reducing cash awards; limiting travel, meeting expenses, contracts, and consultants to those most critical to the Library's mission; and granting liberalized leave without pay.

New Bibliographic Framework Initiative
The Library of Congress published on the Web in November 2012 a high level model for BFI: "Bibliographic Framework as a Web of Data: Linked Data Model and Supporting Services" at URL <http://www.loc.gov/marc/transition/news/bibframe-112312.html>. A major focus of BFI is an effective migration plan for the community to make a transition from the MARC format to a new framework based on a Linked Data (LD) model, "BIBFRAME," while retaining as much as possible the robust and beneficial aspects of our library environment. The model was developed under contract by a Zepheira LLC team led by Eric Miller.

The Library also worked with a small group of "Early Experimenters" from October to December, 2012, to experiment with the "BIBFRAME" model, looking at various types of material and various data content models. They included George Washington University, National Library of Medicine, Princeton University, OCLC, British Library, and Deutsche Nationalbibliothek, in addition to LC.

LC also made available for download two software code sets that convert current MARCXML records to BIBFRAME. The Library now also offers a demonstration area at URL < http://bibframe.org/demos/> including sample collections from the early experimenters, translated via the BIBFRAME pipeline.

Two discussion papers, on BIBFRAME Authority and the BIBFRAME Annotation Model, were issued in May 2013 and are available at URL <http://bibframe.org>. A discussion on BIBFRAME Resource Types was issued in June, and several more will be released in the coming months.

Interested colleagues may subscribe to the BIBFRAME electronic discussion list from the Website at URL <http://www.loc.gov/marc/transition>.

The Library of Congress New Bibliographic Framework Update Forum will take place in Chicago on Sunday, June 30, 2013 (10:30am-12:00pm, in the McCormick Place Convention Center, Room E352).

Library of Congress-Program for Cooperative Cataloging Policy Statements
The first update to the Library of Congress-Program for Cooperative Cataloging Policy Statements (LC-PCC PS) for 2013 was published in May. Eighty-three statements were addressed, primarily to record "PCC practice" in consultation with the PCC Standing Committee on Standards. The next LC-PCC PS update will be on July 9, and will in large part be related to changes to the RDA text approved by the Joint Steering Committee for Development of RDA at its November 2012 meeting. An additional update is planned for November of 2013.

RDA Implementation
The Library of Congress fully implemented *RDA: Resource Description & Access* for all authority work and most bibliographic records on March 31, 2013.

RDA impact on: the LC/NACO Authority File for RDA
Changes to the LC/NACO Name Authority File known as "Phase 2" of the Program for Cooperative Cataloging (PCC) project to make certain headings acceptable under RDA, were begun on March 4 and successfully completed on March 27, 2013. A total of 371,942 name authority records were changed and redistributed to NACO nodes and appropriate Cataloging Distribution Service subscribers. The changes were made to LC's master copy of the LC/NACO authority file using programs originally developed under the auspices of the PCC Acceptable Headings Implementation Task Group (PCCAHITG) by Gary Strawn of Northwestern University. The "Phase 1" changes that preceded this were made in August of 2012 (436,943 authority records were updated in phase 1). The primary purpose of phase 2 was to update (and convert to RDA when possible) records that had certain predictable characteristics and were susceptible to machine manipulation. These changes were made programmatically in order to reduce the number of authority records to be updated manually by NACO catalogers. For details on the types of changes made to headings, see the Website of the PCCAHITG at URL <http://files.library.northwestern.edu/public/pccahitg>, or a summary of those changes at URL <http://www.loc.gov/aba/rda/pdf/lcnaf_rdaphase.pdf>.

The same programmatic changes made to headings in authority records also needed to be applied to headings in bibliographic records in LC's catalog. Changes to bibliographic records (again using a program created by Gary Strawn) began on April 8, after the completion of changes to authority records. The changes were completed on June 10 with 668,748 bibliographic records updated. The resulting changes have been re-distributed by the Cataloging Distribution Service if the records are eligible for distribution.

RDA impact on: *Library of Congress Subject Headings (LCSH)*
In mid-April PSD finished a project to update LCSH headings affected by RDA Phase 2, i.e., those headings that are printed in LCSH but established in the name authority file. The project included personal, corporate, and conference names, as well as titles and geographic headings. Phrase headings and subdivisions that are based on names or titles were revised (e.g., **Food in the Koran** to **Food in**

the **Qur'an**; **Future life—Koranic teaching** to **Future life—Qur'anic teaching**), as were headings for temporary exhibition buildings (which are qualified by the name of the exhibition, e.g., **Cyclebowl (Expo 2000, 2000, Hannover, Germany)**). Revisions to the headings for treaties have been postponed indefinitely pending PCC discussions.

A list of all of the headings that were revised as part of this project may be found at URL <http://www.loc.gov/catdir/cpso/subjects-RDA-changes.html>.

It is possible that a few headings were missed. In addition, name headings that were not programmatically changed as part of Phase 2 may be revised in the coming months and years. When an authorized name heading is revised by a PCC member library, a proposal should also be made to revise the LCSH "copy" of the heading if it is also printed in LCSH. (PCC member institutions that are not in the SACO program should request PSD (policy@loc.gov) to create the proposal).

At this time, PSD is not planning to revise those LC subject headings that include abbreviations that are not permitted in RDA, which chiefly appear in a subfield $y (e.g., **Egypt— History—Early Dynastic Period, ca. 3100-ca. 2686 B.C.**).

RDA impact on: Fictitious and Legendary Characters, and Animals with Proper Names
Historically, headings for fictitious and legendary characters and animals with proper names have been established as subject headings in LCSH. With RDA, these entities can now be considered creators or contributors to works. According to the current LC-PCC Policy Statements, when a fictitious or legendary character, or named animal, is a creator or contributor, a name authority record should be made *in addition to* the subject heading.

That instruction will change with the July 9, 2013 update to the RDA Toolkit. Beginning on that date, headings for fictitious and legendary characters and animals with proper names will be established only in the name authority file using RDA instructions. The RDA heading will be valid for use as a subject heading. Proposals to establish new LC subject headings for characters and animals with proper names will not be accepted. Existing LC subject headings may continue to be assigned as subject headings.

The new policy will state that a name authority record should be created if a character or an animal with a proper name is needed for the descriptive access point. Optionally, catalogers may create a name authority record for any character or animal with a proper name encountered during the course of their regular cataloging duties, even if it is needed only for subject cataloging. In either case, if an LCSH authority record exists, SACO libraries should make and submit a proposal to cancel the subject heading; libraries that are not in the SACO program should request PSD (policy@loc.gov) to create the proposal.

A project to transition all character and individual named animal headings from LCSH will be conducted as resources are available. Catalogers in PCC libraries are requested to refrain from unilaterally undertaking local projects to convert the LC subject headings to name headings in a wholesale manner. Workload and staffing issues do not permit PSD to process the number of proposals that such projects would generate. However, institutions wishing to undertake such a project may contact the Coop Section at saco@loc.gov and the request will be considered.

RDA impact on: *Subject Headings Manual*
Review of the *Subject Headings Manual* (SHM) in light of RDA is ongoing. The fall update package will incorporate all of the RDA-related changes as well as edits that are made in the normal course of events (e.g., revisions to lists of free-floating and pattern subdivisions; policy clarifications). The revisions will appear in *Cataloger's Desktop* 2013 Issue 4 (November 2013).

RDA impact on: *Classification and Shelflisting Manual*
The *Classification and Shelflisting Manual* (CSM) was written in the 1980s and reflected the AACR2 environment. The Policy and Standards Division has now completed its review of the CSM in light of RDA instructions, and also took this opportunity to examine the classification and shelflisting policies in a more general way. Some exceptions to general principles were removed, and policies were otherwise simplified where possible. Revisions to the instruction sheets that were most heavily

impacted by the changes have been posted in PDF form on ABA's Website at URL
<http://www.loc.gov/catdir/cpso/csm_instruction_sheets.html>.

ALA-LC Romanization Tables
During the first half of the year, three revision proposals were approved and four new tables and one
revision proposal were in varying stages of review. Staff in PSD and elsewhere in the Library of
Congress worked closely with ALA's Committee on Cataloging: African and Asian Materials
(CC:AAM) and Committee on Cataloging: Description and Access (CC:DA).

Highlights of the year included:

- Revisions to the Urdu, Pushto, and Sindhi tables were approved by CC:AAM in June.
- New Macedonian, Rusyn, Serbian, and Tamashek tables are currently being reviewed.
  The Macedonian and Serbian tables were developed from the current Serbian and
  Macedonian table.
- Revisions to the Bulgarian table are currently out for constituent review, which closes in
  July.
- A Coptic proposal (being developed by Charles Riley, Yale University) is nearly
  complete and is anticipated in the very near future.
- All current *ALA-LC Romanization Tables* are now included in *Cataloger's Desktop*,
  where they can be searched and retrieved.

A revision proposal for Tibetan based on the Wylie transliteration scheme is being developed by
Lauran Hartley, Columbia University; no target date has been identified. Revision proposals for
Mongolian and Uighur, initially submitted by Wayne Richter, Western Washington University, in
1998 and 1999 respectively, need additional editorial work and are awaiting automation support.

All current ALA-LC romanization tables are available on the Web at URL
<www.loc.gov/catdir/cpso/roman.html> . Any questions about romanization table development should
be directed to Bruce Johnson (Policy & Standards Division) at <bjoh@loc.gov>.

Library of Congress Transitions to Online-only Cataloging Publications
LC's Cataloging Distribution Service (CDS) has announced a transition to online-only publication of
its cataloging documentation. As titles that are in production are released, LC will cease printing new
editions of its subject headings and classification schedules, and other cataloging publications.* LC
will instead provide free downloadable PDFs of these titles. For users desiring enhanced functionality,
LC's two Web-based subscription services, *Cataloger's Desktop* and *Classification Web*, will
continue as products from CDS.

In 2012, LC conducted an extensive study on the impact and opportunities of changes in the
bibliographic framework and the technological environment on the future distribution of its cataloging
data and products. LC's transition from print to online-only for cataloging documentation is a
response to a steadily declining customer base for print and the availability of alternatives made
possible by advances in technology. This shift will enable the Library to achieve a more sustainable
financial model and better serve its mission in the years ahead.

Beginning July 1, 2013, print publications that are currently sold through CDS will become
available as free, downloadable PDFs through LC's Acquisitions and Bibliographic Access
Directorate Website at http://www.loc.gov/aba/. Because all of the content cannot be made available
simultaneously, the retrospective titles will be phased in as PDFs.

Print editions of already-published titles, including the just-released 35th edition of the six-
volume *Library of Congress Subject Headings*, will be available from CDS until inventory is depleted
(http://www.loc.gov/cds/). The most up-to-date source for subject headings and classification data,
and other cataloging documentation, remains *Cataloger's Desktop* and *Classification Web*.
*Note: Subject Headings Manual, Update No. 2 and a new edition of Classification and Shelflisting
Manual are currently in production for 2013 release in print. After the 2013 printing, future updates
and editions of these two publications will be accessible at URL < http://www.loc.gov/aba/>.