

**Association for Library Collections and Technical Services
(A Division of the American Library Association)
Cataloging and Classification Section**

Committee on Cataloging: Description and Access

Report of the MAC Liaison

To: Committee on Cataloging: Description and Access

From: John Myers, CC:DA Liaison to MAC

Provided below are summaries of the proposals and discussion papers considered by the MAC at the ALA 2014 Annual Conference in Las Vegas, Nevada.

Complete text of the MAC proposals and discussion papers summarized below is available via the agenda for the MAC meetings of the 2014 ALA Annual Conference on the MARC Advisory Committee web site: http://www.loc.gov/marc/mac/ac2014_age.html

Executive Summary:

Three proposals and three discussion papers presented. All three proposals passed, 2014-06 with revisions. All three discussion papers will return as proposals.

Narrative:

LC Report: Additions to ID system: for medium of performance (aka 382 tag data), and American Folklore Society's ethnographic thesaurus. New MARC update released.

Other Business: From DNB: Follow up on 2014-DP01 Designation never published: discussion at Midwinter pointed to field 366, which was examined and determined to meet their requirements. They will not submit change proposal. Will use field 366, leveraging \$2 and \$c to meet their purposes.

From the Chair: this is Rich Green's last committee meeting, as he is retiring from OCLC. Parting words from Rich regarding the history of MARBI committee workings. Retirement is TOMORROW, this is his last work duty. Proud of his committee work and of hiring Jay Weitz, who will assume his place on the committee.

Proposal 2014-04 would add a subfield to carry "miscellaneous information" to topical and geographic name fields in both the bibliographic and authority formats. This proposal originates with the DNB and essentially would carry the German of qualifiers in Anglo-American cataloging practice, but which the Germans have parsed more granularly as separate elements rather than an integral part of headings. Anglo-American qualifiers *would not* be migrated to the

new subfield. The committee revisited the discussion regarding the different formulations of qualifiers as part of main heading in \$a vs. use in \$g, but this would be governed by the appropriate heading standard. Also raised the possibility of extending the proposal to 155/655 for genre/form, but decided to defer consideration until an actual need and proposal came forward.. This proposal passed.

Proposal 2014-05 would further leverage the 7XX fields in the authority format to provide cross-references between terms of different thesauri. It proposes the development of \$i and \$4 to carry free text and coded syndetic information per an existing ISO standard. There was minimal discussion about the need for and distinguishing between the categories EQ (closest equivalent), =EQ (exact equivalent), ~EQ (approximate equivalent). This proposal passed.

Proposal 2014-06 would define a new field 388 in both the bibliographic and authority formats to hold “Time period of Creation” data. This arises from the SAC Genre/Form Working Group as a mechanism to record time periods such as are found in \$y of LCSH can be separately coded, since they are out of scope for the genre framework and terminology under development. These would be distinct from the specific dates recorded in field 046. The need for minor adjustments to captions for uniformity with naming conventions was noted. The implications for the first indicator value of field 648 were also raised. This proposal passed with revision to the captions and incorporating a reversal of the changes to the indicator values of field 648 that were made a year ago.

Discussion Paper 2014-DP05 explored expanding the definition of field 046 to accommodate the new RDA element “Period of Activity of the Corporate Body”. Two alternatives were suggested: creating new subfields or expanding the scope of existing subfields. Consensus resolved about option 1 of creating new subfields. There was some concern for the implications for Conferences as corporate bodies – would the dates recorded refer to start/end dates or activity dates, but comments revealed RDA is not that granular. There was agreement that the appropriate scope statements needed to be expanded. Regarding changes to \$s and \$t labels and definitions requiring amendment: the labels wouldn’t – merely a documentation change, but definitions would. This discussion paper will return as a proposal

Discussion Paper 2014-DP06 explored defining first indicator of field 037 to track with the corresponding sequencing value in first indicator of fields 260/264. This occasioned lively and extensive discussion from basic issues such as the appropriateness of and potential confusion created by publishers leveraging the ISSN into their stock numbers to the applicability of the sequencing data provided in the proposed indicator values being a completely local concern that more properly belonged in either the Holdings format or proprietary Order records. There were various concerns about management of multiple simultaneous sources of acquisition, including the possibility of an explosion of 037 fields as multiple libraries updated the master record. The conversation was not particularly helped by arguments for the universal utility of the change but the seeming benefit to only one institution. There was further concern about indicating the sequencing of coverage for pieces of a serial run. Solutions coalesced around adding \$3 for the latter issue, and \$5 for the former. This discussion paper will return as a proposal.

Discussion Paper 2014-DP-07 explored expanding use of field 088 to include series enumeration, which is currently not allowed. A question was posed regarding the original purpose and intent of the existing restriction. Sally McCallum thought it probably concerned managing series data, but she was sympathetic to the present identified need. To the question of using an alternate field, consensus that 088 suffices, that a new field was unnecessary. Potential concerns were raised for the integrity of field 088, or an issue with displays, but these will not be adversely affected. The important aspect is retrieval. Anticipated that series data would be uniformly repeated? 027 is available for Tech Reports, but that is STRN only. This discussion paper will return as a proposal.

Proposal 2014-04: Adding Miscellaneous Information in Topical Term and Geographic Name Fields of the MARC 21 Bibliographic and Authority Formats

URL: <http://www.loc.gov/marc/mac/2014/2014-04.html>

Source: German National Library

Summary: This paper proposes a way to designate "miscellaneous information" in topical term fields and geographic name fields of the MARC 21 Bibliographic and Authority formats. In addition, the paper proposes the re-definition of subfield \$g "Miscellaneous information" as a repeatable subfield in fields where it is already defined.

Related Documents: [2014-DP03](#)

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/28/14 – Discussed by MAC. Different formulations of qualifiers as part of main heading in \$a vs. use in \$g → refer to appropriate heading standard. Expand to 155 for genre/form? → Let lie for the moment. Put to a vote: passed.

Proposal 2014-05: Designating Relationships Between Subject Headings from Different Thesauri in the MARC 21 Authority Format

URL: <http://www.loc.gov/marc/mac/2014/2014-05.html>

Source: German National Library

Summary: This paper proposes a way to designate relationships between entries of different thesauri in a MARC authority record.

Related Documents: [2014-DP02](#)

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/29/14 – Discussed by MAC. Minimal discussion about EQ, =EQ, ~EQ. Put to a vote: passed

Proposal 2014-06: Defining New Field 388 for Time Period of Creation Terms in the MARC 21 Authority and Bibliographic Formats

URL: <http://www.loc.gov/marc/mac/2014/2014-06.html>

Source: ALCTS Subject Analysis Committee Subcommittee on Genre/Form Implementation

Summary: This paper proposes the establishment of new field 388 in the Authority and Bibliographic formats to record the time period of creation or origin of works and expressions.

Related Documents: [2012-DP03](#); [2013-DP06](#)

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/29/14 – Discussed by MAC. Minor adjustments to captions for uniformity with naming conventions. Implications for field 648 – only the indicators – delete 1, merge 0 and [blank] to blank and change [blank] to undefined (reversing the changes from Annual 2013). Put to a vote: passed.

Discussion Paper 2014-DP05: Adding Dates for Corporate Bodies in Field 046 in the MARC 21 Authority Format

URL: <http://www.loc.gov/marc/mac/2014/2014-dp05.html>

Source: British Library

Summary: This discussion paper considers the options for accommodating date of establishment and date of termination of a corporate body.

Related Documents: [2008-DP05](#), [2009-01](#)

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/29/14 – Discussed by MAC. Two options and corresponding changes to scopes of the affected subfields. Consensus resolved about option 1 – new subfields. Implications for Conferences – start/end dates or activity dates? RDA not that granular. Scope to be expanded. Change \$s and \$t labels and definitions require amendment: labels no, definitions yes (Possibly to Start of Period/End of Period). Labels governed by an informal style manual at LC. Will return as a proposal.

Discussion Paper 2014-DP06: Defining Values for Indicator 1 in Field 037 in the MARC 21 Bibliographic Format

URL: <http://www.loc.gov/marc/marbi/2013/2013-dp06.html>

Source: British Library

Summary: This paper considers the definition of values for Indicator 1 in Field 037 to sequence sources of acquisition.

Related Documents: [2011-02](#)

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/28/14 – Discussed by MAC. Confusion with ISSN usage (particularly when leveraged by publisher/distributor)? -- use of ISSN prefix makes it NOT a standard number. Concern about multiple simultaneous sources of acquisition? – only managed within context of BL workflow. Provider neutral issues? – not pressed. But the sequence would be unique to an individual acquisition. Would records be overwhelmed with multiple 037s and sequences of such? In sequencing – reflect actual availability or CHOICE of availability. Holdings Format preferable. Two problems – recording providers, and how a particular library has used those vendors. Third problem – which issues are available from which vendor; “earliest” reference numbering of issues or of vendor coming online? → use \$3. Definition of indicator 1 values acceptable? (Is there a need? And is this viable?) -- Yes. If acceptable, model on 264? NLM doesn't like blank meaning 2 things (tough) -- Yes (add subfield \$5, \$3?). If not acceptable, alternative methods? Simultaneous aggregations and marketplace bias concerns – BL would be willing to add \$5 to 037 field. -- --- Will return as a proposal.

Discussion Paper 2014-DP07: Broaden Usage of Field 088 in the MARC 21 Bibliographic Format

URL: <http://www.loc.gov/marc/mac/2014/2014-dp07.html>

Source: Alaska Resources Library and Information Services (ARLIS)

Summary: This paper proposes broadening the usage of field 088 (Report Number) in bibliographic records to include series numbers (in particular for series in technical report and government publications) by deleting the sentence "Not used to record a number associated with a series statement" in field 088's field definition and scope.

Related Documents: [none]

MAC Action taken:

5/22/14 – Made available to the MARC community for discussion.

6/28/14 – Discussed by MAC. Purpose? – no recollection of prohibition. Sally – probably managing series data, but sympathetic. Alternate field? – consensus that 088 suffices, that new fields were unnecessary. Concern for integrity of field 088? – Issue with displays? Not really. The important aspect is retrieval. Anticipated that series data would be uniformly repeated? 027 is available for Tech Reports, but that is STRN only. Will return as a proposal.

Other Reports:

Library of Congress:

Additions to ID system: for medium of performance (aka 382 tag data), and American Folklore Society's ethnographic thesaurus. New MARC update released.

Business Meeting:

From DNB: Follow 2014-DP01 Designation never published: pointed to field 366, examined and determined it met their requirements and will not submit proposal. Will use \$2 and \$c to meet their purposes.

Rich Green's last committee meeting, as retiring from OCLC. Parting words – history of MARBI committee workings. Retirement is TOMORROW, this is his last work duty. Proud of his committee work.